

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Philippe Rouanet et al.  
Title: PREVENTION AND TREATMENT OF BREAST CANCER  
WITH 4-HYDROXY TAMOXIFEN  
Appl. No.: Unassigned  
Filing Date: December 15, 2003  
Examiner: Unknown  
Art Unit: Unknown

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Philippe Rouanet  
Dominique Salin Drouin  
Jacques Wepierre

☐ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (40 pages).
- ☒ Formal drawings (4 sheets, Figures 1, 2, 3 & 4).
- ☐ Declaration and Power of Attorney (\_\_\_ pages).
- ☐ Assignment of the invention to Ascend Therapeutics, Inc..
- ☒ Application Data Sheet (37 CFR 1.76).
- ☐ Claim for Convention Priority.

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$770.00 =	\$770.00
Total Claims:	31	- 20	= 11	x \$18.00 =	\$198.00
Independents:	3	- 3	= 0	x \$86.00 =	\$0.00
If any Multiple Dependent Claim(s) present:			+	\$290.00 =	\$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee			+	\$130.00 =	\$130.00
				SUBTOTAL: =	\$1098.00
[ ] Small Entity Fees Apply (subtract ½ of above):				=	\$0.00
				TOTAL FILING FEE: =	\$1,098.00

- [ ] A check in the amount of \$0.00 to cover the filing fee is enclosed.
- [ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [ ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 15 December 2003

By Stephen A. Bent

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